

# Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

24.09.2020 / 12:18

#### **Notification of Major Holdings**

# 1. Details of issuer

Name:	Elmos Semiconductor SE
Street:	Heinrich-Hertz-Str. 1
Postal code:	44227
City:	Dortmund Germany
Legal Entity Identifier (LEI):	529900UMKKDCAP4P4H63

#### 2. Reason for notification

X	Acquisition/disposal of shares with voting rights
	Acquisition/disposal of instruments
	Change of breakdown of voting rights
	Other reason:

## 3. Details of person subject to the notification obligation

Legal entity: WA Holdings, Inc. City of registered office, country: Salt Lake City, Utah, United States of America (USA)

#### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

### 5. Date on which threshold was crossed or reached:

18 Sep 2020

# elmos

# 6. Total positions

	% of voting rights attached to shares (total of 7.a.)		both in %	Total number of voting rights pursuant to Sec. 41 WpHG
New	5.11 %	0.00 %	5.11 %	20103513
Previous notification	4.98 %	0.00 %	4.98 %	1

# 7. Details on total positions

# a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute		In %	
	Direct			
	(Sec. 33 WpHG)	(Sec. 34 WpHG)	(Sec. 33 WpHG)	(Sec. 34 WpHG)
DE0005677108	0	1027948	0.00 %	5.11 %
Total	1027948		5.1 <sup>-</sup>	1 %

# b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of instrument	, , ,	Exercise or conversion period	Voting rights absolute	Voting rights in %
Right of Recall (Stock Loan)			0	0.00 %
	- -	Total	0	0.00 %

# b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
				0	0.00 %
			Total	0	0.00 %

# elmos

### 8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).

X Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least 3% or more)		
WA Holdings, Inc.	%	%	%
Wasatch Advisors, Inc.	5.11 %	%	5.11 %

# 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both	
%	%	%	

#### 10. Other explanatory remarks:

Date

23 Sep 2020