

# Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

20.01.2021 / 09:46

#### **Notification of Major Holdings**

#### 1. Details of issuer

Name:	Elmos Semiconductor SE
Street:	Heinrich-Hertz-Str. 1
Postal code:	44227
City:	Dortmund Germany
Legal Entity Identifier (LEI):	529900UMKKDCAP4P4H63

#### 2. Reason for notification

X Acquisition/disposal of shares with voting rights

Acquisition/disposal of instruments

Change of breakdown of voting rights

Other reason:

# 3. Details of person subject to the notification obligation

Legal entity: Universal-Investment-Gesellschaft mit beschränkter Haftung City of registered office, country: Frankfurt am Main, Germany

#### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

#### 5. Date on which threshold was crossed or reached:

14 Jan 2021

# elmos

# 6. Total positions

	% of voting rights attached to shares (total of 7.a.)		both in %	Total number of voting rights pursuant to Sec. 41 WpHG
New	2.55 %	0.00 %	2.55 %	20103513
Previous notification	3.05 %	0.00 %	3.05 %	1

# 7. Details on total positions

# a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute		In %	
	Direct			Indirect (Sec. 34 WpHG)
DE005677108		512343		
Total	512343		2.55 %	

#### b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
			0	0.00 %
		Total	0	0.00 %

# b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

 Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
			0	0.00 %
		Total	0	0.00 %

#### 8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).



X Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least 3% or more)	instruments (if at least 5% or	Total of both (if at least 5% or more)
Universal-Investment-Gesellschaft mit beschränkter Haftung	%	%	%
Universal-Investment-Luxembourg S.A.	%	%	%

# 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both	
%	%	%	

# 10. Other explanatory remarks:

Date

19 Jan 2021